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09-28-01

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JULY 12/60 C887 U.S. PTO		UTILITY PATENT APPLICATION TRANSMITTAL (Only for new nonprovisional application under 37 C.F.R. § 1.53(b))	Attorney Docket No. IN-5501
		First Named Inventor Ali RIHAN et al.	
		Filing Date Thursday, September 27, 2001	
		Title Fast Drying Clearcoat Refinish Composition	
		Express Mail Certificate EK894924026US	

JULY 12/60 C887 U.S. PTO		APPLICATION ELEMENTS See MPEP chapter 600 concerning utility patent application contents	ADDRESS TO: Assistant Commissioner for Patents Box Patent Application, Washington, DC 20231
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1.  Fee Transmittal Form (e.g. PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2.  Applicant claims small entity status.  
See 37 CFR 1.27.
3.  Specification [Total Pages 27]  
- (preferred arrangement set forth below)  
- Descriptive title of the invention  
- Cross References to Related Application  
- Statement Regarding Fed sponsored R & D  
- Reference to Microfiche Appendix  
- Background of the invention  
- Background of the invention  
- Background of the invention  
- Brief Summary of the invention  
- Brief Description of the Drawings (if filed)  
- Detailed Description  
- Claim(s)  
- Abstract of the Disclosure
4.  Drawing(s) (35 U.S.C.113) [Total Sheets ]
5.  Oath or Declaration [Total Sheets 3]  
a.  Newly executed (original or copy)  
b.  Copy from a prior application (37 CFR § 1.63(d))  
(for continuation/divisional with Box 17 completed)  
i.  DELETION OF INVENTOR(S)  
Signed statement attached deleting
6.  Application Data Sheet. See 37 CFR 1.76
7.  CD-ROM or CD-R in duplicate, large table or  
Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)  
a.  Computer Readable Form (CRF)  
b.  Specification Sequence Listing on:  
i.  CD-ROM or CD-R (2 copies); or  
ii.  paper
- c.  Statements verifying identify of above copies

#### ACCOMPANYING APPLICATION PARTS

9.  Assignment Papers (cover sheet & document(s))
10.  37 CFR§3.73(b) Statement  Power Of Attorney (when there is an assignee)
11.  English Translation Document (if applicable)
12.  Information Disclosure Statement (IDS)/ PTO-1449  Copies of IDS Citations
13.  Preliminary Amendment
14.  Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
15.  Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16.  other: .....

#### 17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment;

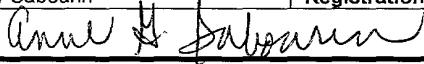
Continuation  Divisional  Continuation-in-part (CIP) of prior application No. 0 filed on \_\_\_\_\_  
Prior application information: Examiner Group/Art Unit:

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application from which an oath or declaration is supplied under Box 4b, is considered to be part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

#### 18. CORRESPONDENCE ADDRESS

Customer Number or Bar Code Label   
or  Correspondence address below

PATENT-TRADEMARK OFFICE

Country	USA	Telephone	(248) 948-2	Fax	(248) 948-2093
Name (print Type)	Anne Gerry Sabourin	Registration No. (Attorney Agent)		33,772	
Signature		Date	September 27, 2001		

**FEE TRANSMITTAL**

 Patent fees are subject to annual revision on October 1.  
 These are the fees effective October 1, 1997

Attorney Docket No.	IN-5501
First Named Inventor	Ali RIHAN et al.
Filing Date	Thursday, September 27, 2001
Title	Fast Drying Clearcoat Refinish Composition
Express Mail Certificate	Ek894924026US

**TOTAL AMOUNT OF PAYMENT \$768.00**
**METHOD OF PAYMENT**

The Commissioner is hereby authorized to change indicated fees and credit any over payments to:

 Deposit Account Number **23-3425**

 Deposit Account Name **BASF Corporation**

Change Any Additional Fee  Charge the Issue Fee Set in 37 CFR § Required Under 37 CFR §§ 1.18 at the Mailing of the Notice of Allowance  
1.16 and 1.17

2.  Payment Enclosed:  
 Check  Money Order  Other

**FEE CALCULATION**
**1. BASIC FILING FEE**

Large Fee Code Entity Fee (\$)

101	710	Utility filing fee	\$710.00
106	330	Design filing fee	
107	540	Plant filing fee	
108	790	Reissue filing fee	
114	150	Provisional filing fee	
		SUBTOTAL (2)	\$710.00

**2. EXTRA CLAIM FEES**

Total Claims	21	-20** =	01	x	18	=	\$18.00
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Independent Claims	03	- 3** =	0	x	80	=	
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Multiple Dependent			270	=	
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\*\*Or number previously paid, if greater; For Reissues, see below

Large Fee Code	Entity Fee (\$)	Claims	Fee from below	Fee Paid
103	18	Claims in excess of 20		
102	80	Independent claims in excess of 3		
104	270	Multiple dependent claims, if not paid		
109	82	**Reissue independent claims over original patent		
110	22	**Reissue claims in excess of 20 and over original patent		
		SUBTOTAL (2)	\$18.00	

**FEE CALCULATION**
**3. ADDITION FEES**

Large Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
105	130	Surcharge -late filing fee or oath	
127	50	provisional filing or cover sheet	
139	130	Non-English specification	
147	2,520	For filing request for examination	
112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840	Requesting publication of SIR after Examiner action	
115	110	Extension for reply within first month	
116	400	Extension for reply within second month	
117	890.	Extension for reply within third month	
118	1,510	Extension for reply within fourth month	
128	2,060	Extension for reply within fifth month	
119	310	Notice of Appeal	
120	310	Filing a brief in support of an appeal	
121	270	Request for oral hearing	
138	1,510	Petition to institute a public use proceeding	
140	110	Petition to revive-unavoidable	
141	1,320	Petition to revive-unintentional	
142	1,320	Utility issue fee (or reissue)	
143	450	Design issue fee	
144	670	Plant issue fee	
122	130	Petition to the Commissioner	
123	50	Petition related to provisional application	
126	240	Submission of information	
581	40	Disclosure Statement	\$40.
		Recording each patent	
		Assignment per property (time number of properties)	
146	790	Filing a submission after final rejection	
149	790	For each additional invention to be examined (37 CFR 1.129(b)) (specify) _____	
Other fee		Reduced By Basic Fee Paid Filing	\$40.

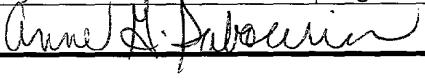
**18. CORRESPONDENCE ADDRESS**

Customer Number or Bar Code Label 

 or  Correspondence address below

**26922**

PATENT-TRADEMARK OFFICE

Country	USA	Telephone	(248) 948-2021	Fax	(248) 948-2093
Name (print Type)	Anne Gerry Sabourin		Registration No. (Attorney Agent)	33,772	
Signature			Date	September 27, 2001	

**PATENT**

(Practitioner's Docket No. IN-5501)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of

Ali RIHAN  
Emerson Keith COLYER

US Serial No. Herewith

Group Art Unit: Not Assigned

Filed: September 27, 2001

Examiner: Not Assigned

For: FAST DRYING CLEARCOAT REFINISH COMPOSITION

Patent\*: Issue Date

Reexamination No.: Issue Date

Reissue: Issue Date

\*NOTE: Insert name(s) of inventor(s) and title for patent.

Assistant Commissioner for Patents  
Washington, D.C. 20231**STATEMENT UNDER 37 C.F.R. § 3.73(b)-  
ESTABLISHING RIGHT OF ASSIGNEE TO TAKE ACTION**

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**CERTIFICATION UNDER 37 C.F.R. §§ 1.8(a) and 1.10\***  
(When using Express Mail, the Express Mail label number is mandatory;  
Express Mail certification optional.)

I hereby certify that, on the date shown below, this correspondence is being:

**MAILING** deposited with the United States Postal Service in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231**37 C.F.R. § 1.8(a)****37 C.F.R. § 1.10\*** with sufficient postage as first class mail. as "Express Mail Post Office to Addressee"  
Mailing Label No: EK 894924026US (mandatory)**TRANSMISSION** transmitted by facsimile to the Patent and Trademark Office.

Signature

Marjorie Ellis

(type or print name of person certifying)

Date: September 27, 2001

(Statement under 37 C.F.R. § 3.73(b) - Establishing Right of Assignee to Take Action [16-16]-page1)

1. The assignee(s) of the entire right, title and interest hereby seek(s) to take action in the PTO in this matter.

**IDENTIFICATION OF ASSIGNEE**  
BASF CORPORATION

2. \_\_\_\_\_  
Name of assignee  
COPORATION

\_\_\_\_\_  
Type of assignee, e.g., corporation, partnership, university, government agency, etc.

**PERSON(s) AUTHORIZED TO SIGN**

3. \_\_\_\_\_  
**Anne Gerry Sabourin, 33,772**  
(type name of person authorized to sign on behalf of assignee)  
**Managing Counsel**

Title of person authorized to sign

(complete the following, if applicable)

I, the person signing below, state that I am empowered to sign this statement on behalf of  
'the assignee.'

**BASIS OF ASSIGNEE'S INTEREST**

Ownership by the assignee is established as follows:

A.

1.  An assignment from the inventor(s) of the matter identified above, which was recorded in the PTO at

Reel \_\_\_\_\_, Frame \_\_\_\_\_.

2.  An assignment (document) separately being submitted for recordal herewith.

**AND/OR**

B.

1.  A chain of title from the inventor(s) to the current assignee as shown below:

1. From: \_\_\_\_\_  
Name of inventor(s)  
To: \_\_\_\_\_

Recorded in PTO:  
Reel \_\_\_\_\_ Frame \_\_\_\_\_

(Statement under 37 C.F.R. § 3.73(b) - Establishing Right of Assignee to Take Action [16-16]-page 2)

(check item below, and add details, if applicable)

- Additional documents in the chain of title are listed in the attached Supplemental Sheet.

**COPIES OF DOCUMENTS IN CHAIN OF TITLE**

(complete this item, if copies are being sent)

- Copies of the assignment(s) or other document(s) in the chain of title are attached as follows:

A

1

2

B

1.

2

3.

Reg. No.: 33,772

Anne G. Sabourin

SIGNATURE OF ATTORNEY

Anne Gerry Sabourin

Type or print name of attorney

Tel. No.: ( 248 ) 948-2021

BASF Corporation

26701 Telegraph Road

P.O. Address

Southfield, Michigan 48034-2442

Customer No. 26922

**PATENT**

(Practitioner's Docket No. IN-5501)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of

Ali RIHAN  
Emerson Keith COLYER

US Serial No. Herewith

Group Art Unit: Not Assigned

Filed: September 27, 2001

Examiner: Not Assigned

For. FAST DRYING CLEARCOAT REFINISH COMPOSITION

**Assistant Commissioner of Patents**  
**Washington, D.C. 20231**

**EXPRESS MAIL CERTIFICATE**

"Express Mail" label number EK894924026US Date of Deposit September 27, 2001

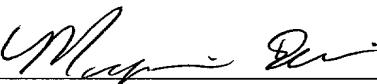
I hereby certify that the following attached paper or fee

Combined Declaration and Power of Attorney on 03 pages

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Marjorie Ellis

(Typed or printed name of person mailing paper or fee)

  
Signature of person mailing paper or fee)

Note: Each paper must have its own certificate and the "Express Mail" label number as a part thereof or attached thereto. When, as here, the certification is presented on a separate sheet, that sheet must (1) be signed and (2) fully identify and be securely attached to the paper or fee it accompanies. Identification should include the serial number and filing date of the application as well as the type of paper being filed, e.g.

complete application, specification and drawings, responses to rejection or refusal, notice of appeal, etc. if the serial number of the application is not known, the identification should include at least the name of the inventor(s) and the title of the invention.

Note: The label number need not be placed on each page. It should, however, be placed on the first page of each separate document, such as, a new application, amendment, assignment, and transmittal letter for a fee, along with the certificate of mailing by "Express Mail." Although the label number may be on checks, such a practice is not required. In order not to deface formal drawings it is suggested that the label number be placed on the back of each formal drawing of the drawings be accompanied by a set of informal drawings on which the label number is placed

PATENT

(Practitioner's Docket No. IN-5501)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Ali RIHAN  
Emerson Keith COLYER

US Serial No. Herewith

Group Art Unit: Not Assigned

Filed: September 27, 2001

Examiner: Not Assigned

For. FAST DRYING CLEARCOAT REFINISH COMPOSITION

Assistant Commissioner of Patents  
Washington, D.C. 20231

EXPRESS MAIL CERTIFICATE

"Express Mail" label number EK894924026US Date of Deposit September 27, 2001

I hereby certify that the following attached paper or fee

Application  
Specification on 

21
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 pages; claims on 

05
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 pages;  
the abstract on 

01
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 page(s); drawings on 

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 page(s).

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Marjorie Ellis

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